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CHINA SUNTIEN GREEN ENERGY CORPORATION LIMITED*

新天綠色能源股份有限公司

(a joint stock limited company incorporated in the People's Republic of China with limited liability)

(Stock Code: 00956)

**UNAUDITED 2016 THIRD QUARTERLY FINANCIAL STATEMENTS
OF A SUBSIDIARY**

This announcement is made in accordance with Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and rule 13.09 and 13.10B of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

HECIC New-energy Co., Ltd. (河北建投新能源有限公司) (“**HECIC New-energy**”), a wholly-owned subsidiary of China Suntien Green Energy Corporation Limited (the “**Company**”), issued short-term financial bonds in the principal amount of RMB500 million on 18 October 2016. Pursuant to the Rules on Disclosure for Debt Financing Instruments of Non-financial Enterprises in the Inter-bank Bond Market (銀行間債券市場非金融企業債務融資工具信息披露規則) promulgated by the National Association of Financial Market Institution Investors of the PRC (中國銀行間市場交易商協會), HECIC New-energy has published the unaudited financial statements of HECIC New-energy and its subsidiaries for the nine months ended 30 September 2016 on the websites of China Money (中國貨幣網) at www.chinamoney.com.cn and Shanghai Clearing House (上海清算所網) at www.shclearing.com on the same day of this announcement.

The unaudited financial statements of HECIC New-energy and its subsidiaries for the nine months ended 30 September 2016 were prepared in accordance with the PRC Generally Accepted Accounting Principles and are enclosed for information purpose only.

The board of directors of the Company (the “**Board**”) wishes to remind investors that the unaudited results of HECIC New-energy and its subsidiaries for the nine months ended 30 September 2016 contained in this announcement were prepared based on the unaudited management accounts which have not been reviewed or audited by the Company’s auditors. These financial statements are limited solely to HECIC New Energy and its subsidiaries and do not represent or provide a full picture of the operation of the Company and its subsidiaries. Investors should not place undue reliance on the aforesaid information and are advised to exercise caution in dealing in the Company’s shares.

By order of the Board of
China Suntien Green Energy Corporation Limited*
Gao Qing Yu
Executive Director and President

Shijiazhuang City, Hebei Province, the PRC, 25 October 2016

As at the date of this announcement, the non-executive Directors of the Company are Dr. Cao Xin, Dr. Li Lian Ping, Mr. Qin Gang, Ms. Sun Min and Mr. Wu Huijiang; the executive Directors of the Company are Mr. Gao Qing Yu and Mr. Wang Hong Jun; and the independent non-executive Directors of the Company are Mr. Qin Hai Yan, Mr. Ding Jun, Mr. Wang Xiang Jun and Mr. Yue Man Yiu Matthew.

* *For identification purposes only*

CONSOLIDATED BALANCE SHEET

Ji Jian Tou Monthly Statement No. 1

Prepared by: HECIC NEW-ENERGY CO., LTD. (Consolidated)

Date: 30 September 2016

Unit: RMB

Items	Line Item	As at 30 September 2016	As at 31 December 2015	Items	Line Item	As at 30 September 2016	As at 31 December 2015
CURRENT ASSETS :	1	—	—	CURRENT LIABILITIES:	59	—	—
Cash	2	637,613,235.62	1,561,101,931.92	Short-term loans	60	540,000,000.00	650,000,000.00
△Clearing settlement funds	3			△Loans from Central Bank	61		
△Lendings to banks and other financial institutions	4			△Deposits and amounts due to banks	62		
Financial assets at fair value through profit or loss	5			△Loans from banks and other financial institutions	63		
Derivative financial assets	6			Financial liabilities at fair value through profit or loss	64		
Bills receivables	7	1,000,000.00	3,000,000.00	Derivative financial liabilities	65		
Accounts receivables	8	401,927,176.31	280,349,940.69	Bills payable	66		
Advances to suppliers	9	1,076,194,011.50	1,528,204,429.27	Accounts payable	67	531,992,217.34	8,279,460.78
△Premium receivables	10			Advances from customers	68	131.00	4,966,964.77
△Receivables from reinsurers	11			△Financial assets sold under agreements to repurchase	69		
△Reinsurance deposits receivables	12			△Handling charges and commission payables	70		
Interest receivable	13			Accrued salaries, wages and benefits	71	887,564.59	1,579,781.95
Dividends receivable	14			Including: wages payable	72	382,770.31	1,057,465.54
Other receivables	15	764,006,396.45	753,748,127.31	Welfare payable	73		
△Financial assets purchased under agreements to resell	16			Including: Bonus and benefit fund for staff	74		
Inventories	17	55,285,786.12	34,549,857.04	Tax payables	75	124,633.36	7,751,190.46
Including: raw materials	18	55,282,599.12	34,217,093.15	Including: tax payable	76	-1,056,712.96	7,751,038.66
Stock inventory (finished products)	19		332,763.89	Interest payable	77	145,119,212.65	63,868,867.20

Assets classified as held for sale	20			Dividends payables	78		
Issued short-term entrusted loan and prepayment	21			Other payables	79	472,910,546.95	900,970,619.78
Non-current assets due within one year	22			△Dividend payable for reinsurance	80		
Other current assets	23			△ Insurance deposits payables	81		
Total current assets	24	2,936,026,606.00	4,160,954,286.23	△ Securities trading fees	82		
NON-CURRENT ASSETS:	25	—	—	△ Securities selling fees	83		
△ Issued loan and prepayment	26			Liabilities classified as held for sale	84		
Issued long-term entrusted loan and prepayment	27			Non-current liabilities due within one year	85	1,614,868,593.62	527,602,357.20
Available-for-sale financial assets	28			Other current liabilities	86	40,124,628.60	40,124,628.60
Held-to-maturity investments	29			Total current liabilities	87	3,346,027,528.11	2,205,143,870.74
Long-term receivables	30			NON-CURRENT LIABILITIES:	88	—	—
Long-term equity investments	31	392,795,607.68	372,359,919.73	Long-term loans	89	8,710,472,435.15	10,377,879,518.01
Investment properties	32			Debentures payables	90		
Original price of fixed assets	33	11,647,466,253.38	10,131,968,813.87	Long-term payables	91		
Less: accumulated depreciations	34	2,542,444,862.13	2,080,976,843.00	Long-term employee benefits payable	92		
Net value of fixed assets	35	9,105,021,391.25	8,050,991,970.87	Special payables	93	1,200,000.00	1,200,000.00
Less : provision for fixed assets impairment	36			Provisions	94		
Net amount of fixed assets	37	9,105,021,391.25	8,050,991,970.87	Deferred income	95		
Construction in progress	38	2,380,942,568.07	2,690,952,059.70	Deferred income tax liabilities	96		
Construction materials	39			Other non-current liabilities	97	5,013,158.05	4,810,526.43
Disposal of fixed assets	40	16,346,538.44	16,337,930.43	Total non-current liabilities	98	8,716,685,593.20	10,383,890,044.44
Biological assets for production use	41			Total liabilities	99	12,062,713,121.31	12,589,033,915.18
Oil and gas assets	42			Owner's equity (or shareholder equity):	100	—	—
Intangible assets	43	2,296,536,330.01	2,227,678,414.93	Issued share capital (share capital)	101	3,767,300,000.00	3,767,300,000.00
Development expenses	44			Other equity instruments	102		

Goodwill	45	2,372,010.00	2,372,010.00	Including: Preferred shares	103		
Long-term deferred expenses	46	5,716,707.74	776,739.11	Perpetual bonds	104		
Deferred income tax assets	47	1,570,677.37	1,570,677.37	Capital reserves	105	8,965,104.29	90,383.47
Other non-current assets	48			Less: Treasury shares	106		
Total non-current assets	49	14,201,301,830.56	13,363,039,722.14	Other comprehensive income	107		
	50			Including : exchange differences on foreign currency translation	108		
	51			Special reserves	109		
	52			Surplus reserves	110	122,675,680.45	122,675,680.45
	53			△Provision for general risks	111		
	54			Undistributed profit	112	429,961,568.68	314,008,576.27
	55			Total equity attributable to owners of the parent company	113	4,328,902,353.42	4,204,074,640.19
	56			*Minority interests	114	745,712,961.83	730,885,453.00
	57			Total owners' equity	115	5,074,615,315.25	4,934,960,093.19
Total assets	58	17,137,328,436.56	17,523,994,008.37	Total liabilities and owners' equity	116	17,137,328,436.56	17,523,994,008.37

Note: in the table * are specifically used in the consolidated accounting statements; △ are specifically used by financial enterprises; # are specifically used by foreign invested enterprises.

CONSOLIDATED INCOME STATEMENT

Date: September 2016

Ji Jian Tou

Monthly

Statement No. 2

Prepared by: HECIC NEW-ENERGY CO., LTD. (Consolidated)

Unit: RMB

Item	Row no.	Amount for the month	Total amount for the year	Total amount for the last year
1. Total operating revenue	1	74,465,857.69	1,167,802,678.44	964,292,335.74
Including: Operating income	2	74,465,857.69	1,167,802,678.44	964,292,335.74
Including: Principal operations income	3	74,465,857.69	1,167,785,250.81	964,292,335.74
Other operations income	4		17,427.63	
△Interest income	5			
△Handling charges and commission income	6			
2. Total operating costs	7	109,291,292.19	936,475,513.16	897,458,212.33
Including: Operating costs	8	64,501,040.29	541,598,645.95	491,183,245.87
Including: Principal operations costs	9	64,501,040.29	541,598,645.95	491,183,245.87
Other operations costs	10			
△Interest expenses	11			
△Handling charges and commission expenses	12			
Business tax and surcharges	13	189,971.72	5,002,354.85	7,023,133.83
Selling expenses	14			
Administration expenses	15	9,481,311.25	66,109,599.73	67,591,799.15
Including: R&D expenses	16		490,389.68	
Finance costs	17	35,118,968.93	323,764,912.63	331,660,033.48
Including: Interest expenses	18	36,625,275.37	333,408,484.11	337,874,447.17
Interest income	19	1,691,781.27	10,987,844.77	7,160,751.34
Net exchange loss (net gains are indicated with “-”)	20			
Other finance costs	21	185,474.83	1,344,273.29	946,337.65
Asset impairment loss	22			
Others	23			
Add: Gains from changes in fair value (losses are indicated with “-”)	24			
Investment gains (losses are indicated with “-”)	25	-1,031,000.00	20,435,687.95	28,442,980.09
Including: Gains from investment in associated companies and joint ventures	26	-1,031,000.00	20,435,687.95	28,442,980.09
△Exchange gains (losses are indicated with “-”)	27			

3. Operating profit (losses are indicated with“-”)	28	-35,856,434.50	251,762,853.23	95,277,103.50
Add: Non-operating income	29	5,021,169.68	21,058,289.72	22,355,164.05
Including: Gains from disposal of non-current assets	30		115,256.50	71,393.25
Gains on exchange of non-monetary assets	31			
Government grants	32	5,021,169.68	20,083,763.21	19,568,041.42
Gains on debt restructuring	33			
Less: Non-operating expenses	34	397.87	136,371.08	181,767.41
Including: Losses from disposal of non-current assets	35	397.87	21,909.85	17,030.59
Losses from exchange of non-monetary assets	36			
Losses from debt restructuring	37			
4. Gross profits (total losses are indicated with“-”)	38	-30,835,662.69	272,684,771.87	117,450,500.14
Less: Income tax expenses	39	-9,318,179.11	40,268,636.52	17,143,076.45
5. Net profits (net losses are indicated with“-”)	40	-21,517,483.58	232,416,135.35	100,307,423.69
Net profit attributable to owners of the parent company	41	-18,087,190.94	201,487,486.24	97,165,041.50
Including: net profit under common control before the date of consolidation	42			
*Minority interests	43	-3,430,292.64	30,928,649.11	3,142,382.19
6. Net other comprehensive income after taxes	44			
7. Total comprehensive income	45	-21,517,483.58	232,416,135.35	100,307,423.69
Total comprehensive income attributable to owners of the parent company	46	-18,087,190.94	201,487,486.24	97,165,041.50
Total comprehensive income attributable to minority shareholders	47	-3,430,292.64	30,928,649.11	3,142,382.19
Add: undistributed profit at the beginning of the period	48	448,048,759.62	314,008,576.27	365,557,031.39
Losses covered by surplus reserve	49			
Profits payable by subsidiaries	50			
Other adjustment factors	51		2,887,244.71	
6. Distributable profit	52	429,961,568.68	518,383,307.22	462,722,072.89
Less: Appropriation of statutory surplus reserve	53			
Appropriation of statutory surplus reserve	54			
Appropriation for staff bonuses and benefit funds	55			
Appropriation for reserve funds	56			

Appropriation for company development funds	57			
Appropriation for general risk reserve	58			
Supplement working capital	59			
Single reserve profit	60			
Other profit distribution	61		2,887,244.71	
7. Distributable profit attributable to Shareholders	62	429,961,568.68	515,496,062.51	462,722,072.89
Less : preference shares dividends payable	63			
Ordinary shares dividends payable	64		85,534,493.83	
Transfer to paid-in-capital as dividend	65			
Profits payable	66			190,163,423.77
Returns of investment profits	67			
8. Undistributed profit	68	429,961,568.68	429,961,568.68	272,558,649.12

Note: *in the table are specially used in the consolidated accounting statements; △ are specially used by financial enterprises.

CONSOLIDATED CASH FLOW STATEMENT

Date: September 2016

Ji Jian Tou Monthly

Statement No. 3

Prepared by: HECIC NEW-ENERGY CO., LTD. (Consolidated)

Unit: RMB

Item	Row no.	Amount for the month	Total amount for the year	Total amount for the last year
1. Cash flows from operating activities:	1	—	—	—
Cash received from sale of goods and rendering of services	2	118,602,126.43	1,312,931,397.93	1,254,191,745.06
△Net increase in deposits from customers and deposits from other banks	3			
△Net increase in loans from central bank	4			
△Net increase in loans from other financial institutions	5			
△Net increase in disposal of financial assets at fair value through profit and loss	6			
△Cash receipts of interest, fees and commission	7			
△Net increase in placement from banks and other financial institution	8			
△Net increase in sale and repurchase operations	9			
Cash received from taxes refund	10	147,809.47	12,068,939.23	1,572,685.78
Cash received from other operating activities	11	282,633,818.08	325,913,840.12	30,551,488.05
Sub-total of Cash inflows from operating activities	12	401,383,753.98	1,650,914,177.28	1,286,315,918.89
Cash paid for goods and services	13	10,569,009.43	71,874,098.91	86,658,636.43
△Net increase in loans and advances to customers	14			
△Net increase in deposit with central bank and inter-banks	15			
△Cash paid for interest, fees and commission	16			
Cash paid to and on behalf of employees	17	4,707,608.75	48,564,884.47	44,678,776.20
Payments of taxes and surcharges	18	2,297,453.04	85,429,835.10	60,942,686.82
Cash paid to other operating activities	19	7,018,300.88	73,213,948.16	50,179,560.54
Sub-total of cash outflows from operating activities	20	24,592,372.10	279,082,766.64	242,459,659.99
Net cash flows from operating activities	21	376,791,381.88	1,371,831,410.64	1,043,856,258.90
2. Cash flows from investing activities:	22	—	—	—
Cash received from investment	23			
Cash received from investment income	24			34,710,945.29
Net cash received from disposal of fixed assets, intangible assets and other long-term assets	25	3,186.72	388,631.80	330,701.99
Net cash received from disposal of subsidiaries and other business units	26		144,000,000.00	
Cash received from other investing activities	27	210,109.34	40,670,444.42	86,724,213.02
Sub-total of cash inflows from investing activities	28	213,296.06	185,059,076.22	121,765,860.30
Cash paid to acquire fixed assets, intangible assets and other	29	65,877,838.74	1,105,691,042.92	1,796,548,190.06

long-term assets				
Cash paid for investments	30			
△Net increase in pledged deposits	31			
Net cash paid to acquire subsidiaries and other business units	32			
Cash paid to other investing activities	33	74,708.11	358,995.21	2,384,747.64
Sub-total of cash outflow from investing activities	34	65,952,546.85	1,106,050,038.13	1,798,932,937.70
Net cash flows from investing activities	35	-65,739,250.79	-920,990,961.91	-1,677,167,077.40
3. Cash flows from financing activities	36	—	—	—
Cash received from investment	37			343,550,000.00
Including: Cash received by subsidiaries from investment absorption of minority interest	38			
Cash received from borrowings	39	850,000,000.00	1,757,871,000.00	2,648,820,000.00
△Cash received from issuance of bonds	40			
Cash received from other financing activities	41			
Sub-total of cash inflows from financing activities	42	850,000,000.00	1,757,871,000.00	2,992,370,000.00
Cash paid for loan repayments	43	1,313,000,000.00	2,626,385,409.93	1,296,929,409.93
Cash paid for dividends, profits appropriation or payments of interest	44	137,219,956.48	505,814,735.10	564,181,539.59
Including: Dividends and profits paid by Subsidiaries to minority interest	45			
Cash paid to other financing	46			
Sub-total of cash outflows from financing activities	47	1,450,219,956.48	3,132,200,145.03	1,861,110,949.52
Net cash flows from financing activities	48	-600,219,956.48	-1,374,329,145.03	1,131,259,050.48
4. Effect of changes in foreign exchange rate on cash and cash equivalents	49			
5. Net increase in cash and cash equivalents	50	-289,167,825.39	-923,488,696.30	497,948,231.98
Add: Cash and cash equivalents at the beginning of the period	51	926,781,061.01	1,561,101,931.92	1,158,810,322.03
6. Cash and cash equivalents at the end of the period	52	637,613,235.62	637,613,235.62	1,656,758,554.01

Note: △ are specially used by financial enterprises.

BALANCE SHEET

Ji Jian Tou Monthly Statement No. 1

Prepared by: HECIC NEW-ENERGY CO., LTD. (Parent Company)

Date: 30 September 2016

Unit: RMB

Items	Line Item	As at 30 September 2016	As at 31 December 2015	Items	Line Item	As at 30 September 2016	As at 31 December 2015
CURRENT ASSETS :	1	—	—	CURRENT LIABILITIES:	59	—	—
Cash	2	149,290,168.30	722,213,293.91	Short-term loans	60	500,000,000.00	500,000,000.00
△ Clearing settlement funds	3			△ Loans from Central Bank	61		
△ Lendings to banks and other financial institutions	4			△ Deposits and amounts due to inter-banks	62		
Financial assets at fair value through profit or loss	5			△ Loans from banks and other financial institutions	63		
Derivative financial assets	6			Financial liabilities at fair value through profit or loss	64		
Bills receivables	7			Derivative financial liabilities	65		
Accounts receivables	8	8,901,168.26	26,837,859.46	Bills payables	66		
Advances to suppliers	9	370,952.94	17,372,529.44	Accounts payables	67	950,514.38	97,574.80
△ Premium receivables	10			Advances from customers	68		
△ Receivables from reinsurers	11			△ Financial assets sold under agreements to repurchase	69		
△ Reinsurance deposits receivables	12			△ Handling charges and commission payables	70		
Interest receivable	13			Accrued salaries, wages and benefits	71	311,829.99	450,735.92
Dividends receivable	14	15,938,874.29	989,500.69	Including: wages payable	72		148,885.00
Other receivables	15	2,751,091,018.93	2,701,385,921.31	Welfare payable	73		
△ Financial assets purchased under agreements to resell	16			Including: Bonus and benefit fund for staff	74		
Inventories	17	80,393.95	200,230.72	Tax payables	75	425,257.35	793,922.10
Including: raw materials	18	80,393.95	200,230.72	Including: tax payable	76	-746,345.45	793,922.10
Stock inventory (finished products)	19			Interest payable	77	56,491,811.13	45,210,253.48
Assets classified as held for sale	20			Dividends payables	78		
Issued short-term entrusted loan and prepayment	21			Other payables	79	7,872,769.01	75,992,571.14
Non-current assets due within one year	22			△ Dividend payable for reinsurance	80		
Other current assets	23			△ Insurance deposits payables	81		
Total current assets	24	2,925,672,576.67	3,468,999,335.53	△ Securities trading fees	82		

NON-CURRENT ASSETS:	25	—	—	△ Securities selling fees	83		
△ Issued loan and prepayment	26			Liabilities classified as held for sale	84		
Issued long-term entrusted loan and prepayment	27			Non-current liabilities due within one year	85	1,367,899,565.27	121,833,328.85
Available-for-sale financial assets	28			Other current liabilities	86		
Held-to-maturity investments	29			Total current liabilities	87	1,933,951,747.13	744,378,386.29
Long-term receivables	30			NON-CURRENT LIABILITIES:	88	—	—
Long-term equity investments	31	4,447,317,283.34	3,820,055,491.12	Long-term loans	89	1,745,335,960.96	3,751,400,626.73
Investment properties	32			Debentures payables	90		
Original price of fixed assets	33	455,664,688.87	1,568,197,177.13	Long-term payables	91		
Less: accumulated depreciations	34	148,183,580.61	395,414,359.31	Long-term employee benefits payable	92		
Net value of fixed assets	35	307,481,108.26	1,172,782,817.82	Special payables	93		
Less : provision for fixed assets impairment	36			Provisions	94		
Net amount of fixed assets	37	307,481,108.26	1,172,782,817.82	Deferred income	95		
Construction in progress	38	603,575.91	1,000,094.13	Deferred income tax liabilities	96		
Construction materials	39			Other non-current liabilities	97		
Disposal of fixed assets	40	1,143,912.43	1,134,866.43	Total non-current liabilities	98	1,745,335,960.96	3,751,400,626.73
Biological assets for production use	41			Total liabilities	99	3,679,287,708.09	4,495,779,013.02
Oil and gas assets	42			Owner's equity (or shareholder equity):	100	—	—
Intangible assets	43	1,700,917.95	7,259,360.03	Issued share capital (share capital)	101	3,767,300,000.00	3,767,300,000.00
Development expenses	44			Other equity instruments	102		
Goodwill	45			Including: Preferred shares	103		
Long-term deferred expenses	46	31,472.25	57,222.24	Perpetual bonds	104		
Deferred income tax assets	47			Capital reserves	105		
Other non-current assets	48			Less: Treasury shares	106		
Total non-current assets	49	4,758,278,270.14	5,002,289,851.77	Other comprehensive income	107		

	50			Including : exchange difference on translation of financial statements in foreign currency	108		
	51			Special reserves	109		
	52			Surplus reserves	110	122,675,680.45	122,675,680.45
	53			△ Provision for general risks	111		
	54			Undistributed profit	112	114,687,458.27	85,534,493.83
	55			Total equity attributable to owners of the parent company	113	4,004,663,138.72	3,975,510,174.28
	56			*Minority interests	114		
	57			Total owners' equity	115	4,004,663,138.72	3,975,510,174.28
Total assets	58	7,683,950,846.81	8,471,289,187.30	Total liabilities and owners' equity	116	7,683,950,846.81	8,471,289,187.30

Note: in the table * are specifically used in the consolidated accounting statements; △ are specifically used by financial enterprises; # are specifically used by foreign invested enterprise

INCOME STATEMENT

Date: September 2016

Ji Jian Tou
Monthly Statement

No. 2

Prepared by: HECIC NEW-ENERGY CO., LTD. (Parent Company)

Unit: RMB

Item	Row no.	Amount for the month	Total amount for the year	Total amount for the last year
1. Total operating revenue	1	2,483,362.96	103,709,015.06	120,067,081.78
Including: Operating income	2	2,483,362.96	103,709,015.06	120,067,081.78
Including: Principal operations income	3	2,483,362.96	103,709,015.06	118,542,075.68
Other operations income	4			1,525,006.10
△ Interest income	5			
△ Handling charges and commission income	6			
2. Total operating costs	7	7,453,899.43	128,294,317.83	130,336,548.57
Including: Operating costs	8	1,823,080.58	51,057,982.05	57,548,846.39
Including: Principal operations costs	9	1,823,080.58	51,057,982.05	57,548,846.39
Other operations costs	10			
△ Interest expenses	11			
△ Handling charges and commission expenses	12			
Business tax and surcharges	13	24,446.48	47,073.36	85,400.36
Selling expenses	14			
Administration expenses	15	1,868,895.32	24,113,625.92	31,452,483.92
Including: R&D expenses	16			
Finance costs	17	3,737,477.05	53,075,636.50	41,249,817.90
Including: Interest expenses	18	3,843,920.55	59,648,357.17	45,163,905.38
Interest income	19	106,870.00	6,864,528.45	4,288,665.42
Net exchange loss (net gains are indicated with “-”)	20			
Other finance costs	21	426.50	291,807.78	374,577.94
Asset impairment loss	22			
Others	23			
Add: Gains from changes in fair value (losses are indicated with “-”)	24			
Investment gains (losses are indicated with “-”)	25	-1,031,000.00	138,393,970.09	109,175,699.13
Including: Gains from investment in associated companies and joint ventures	26	-1,031,000.00	20,435,687.95	28,442,980.09
△ Exchange gains (losses are indicated with “-”)	27			
3. Operating profit (losses are indicated with“-”)	28	-6,001,536.47	113,808,667.32	98,906,232.34
Add: Non-operating income	29	872,215.38	880,173.95	4,675,135.82
Including: Gains from disposal of non-current assets	30		7,958.57	

Gains on exchange of non-monetary assets	31			
Government grants	32	872,215.38	872,215.38	4,675,135.82
Gains on debt restructuring	33			
Less: Non-operating expenses	34		1,383.00	5,298.85
Including: Losses from disposal of non-current assets	35		1,383.00	5,298.85
Losses from exchange of non-monetary assets	36			
Losses from debt restructuring	37			
4. Gross profits (total losses are indicated with“-”)	38	-5,129,321.09	114,687,458.27	103,576,069.31
Less: Income tax expenses	39			
5. Net profits (net losses are indicated with“-”)	40	-5,129,321.09	114,687,458.27	103,576,069.31
Net profit attributable to owners of the parent company	41	-5,129,321.09	114,687,458.27	103,576,069.31
Including: net profit under common control on the date of consolidation	42			
*Minority interests	43			
6. Net other comprehensive income after taxes	44			
7. Total comprehensive income	45	-5,129,321.09	114,687,458.27	103,576,069.31
Total comprehensive income attributable to owners of the parent company	46	-5,129,321.09	114,687,458.27	103,576,069.31
Total comprehensive income attributable to minority shareholders	47			
Add: undistributed profit at the beginning of the period	48	119,816,779.36	85,534,493.83	190,163,423.77
Losses covered by surplus reserve	49			
Profits payable by branch companies	50			
Other adjustment factors	51		2,887,244.71	
6. Distributable profit	52	114,687,458.27	203,109,196.81	293,739,493.08
Less: Appropriation of statutory surplus reserve	53			
Appropriation of statutory surplus reserve	54			
Appropriation for staff bonuses and benefit funds	55			
Appropriation for reserve funds	56			
Appropriation for company development funds	57			
Appropriation for general risk reserve	58			
Supplement working capital	59			
Single reserve profit	60			
Other profit distribution	61		2,887,244.71	
7. Distributable profit attributable to Shareholders	62	114,687,458.27	200,221,952.10	293,739,493.08
Less : preference shares dividends payable	63			
Ordinary shares dividends payable	64		85,534,493.83	
Transfer to paid-in-capital as dividend	65			

Profits payable	66			190,163,423.77
Returns of investment profits	67			
8. Undistributed profit	68	114,687,458.27	114,687,458.27	103,576,069.31

Note: *in the table are specially used in the consolidated accounting statements; Δ are specially used by financial enterprises.

CASH FLOW STATEMENT

Date: September 2016

Ji Jian Tou Monthly Statement No. 3

Prepared by: HECIC NEW-ENERGY CO., LTD. (Parent Company)

Unit: RMB

Item	Row no.	Amount for the month	Total amount for the year	Total amount for the last year
1. Cash flows from operating activities:	1	—	—	—
△ Cash received from sale of goods and rendering of services	2	7,195,253.25	116,323,623.45	167,590,426.99
△ Net increase in deposits from customers and deposits from other banks	3			
△ Net increase in loans from central bank	4			
△ Net increase in loans from other financial institutions	5			
△ Net increase in disposal of financial assets at fair value through profit and loss	6			
△ Cash receipts of interest, fees and commission	7			
△ Net increase in placement from banks and other financial institution	8			
△ Net increase in sale and repurchase operations	9			
Cash received from taxes refund	10		646,514.50	
Cash received from other operating activities	11	33,084,231.73	732,380,824.07	398,518,626.15
Sub-total of cash inflows from operating activities	12	40,279,484.98	849,350,962.02	566,109,053.14
Cash paid for goods and services	13	13,575.00	5,624,277.18	23,584,045.77
△ Net increase in loans and advances to customers	14			
△ Net increase in deposit with central bank and inter-banks	15			
△ Cash paid for interest, fees and commission	16			
Cash paid to and on behalf of employees	17	801,139.29	8,838,644.79	8,488,183.74
Payments of taxes and surcharges	18	426,751.65	957,838.73	2,534,184.08
Cash paid to other operating activities	19	1,571,010.76	112,332,891.73	779,107,370.40
Sub-total of cash outflows from operating activities	20	2,812,476.70	127,753,652.43	813,713,783.99
Net cash flows from operating activities	21	37,467,008.28	721,597,309.59	-247,604,730.85
2. Cash flows from investing activities:	22	—	—	—
Cash received from investment	23			
Cash received from investment income	24	6,530,420.95	103,008,908.54	114,454,163.64
Net cash received from disposal of fixed assets, intangible assets and other long-term assets	25	200.00	41,600.00	1,300.00
Net cash received from disposal of subsidiaries and other business units	26		144,000,000.00	
Cash received from other investing activities	27			
Sub-total of cash inflows from investing activities	28	6,530,620.95	247,050,508.54	114,455,463.64
Cash paid to acquire fixed assets, intangible assets and other long-term assets	29	42,500.00	1,430,497.82	2,568,031.42
Cash paid for investments	30	-98,881.44	610,676,913.28	535,000,000.00
△ Net increase in pledged deposits	31			
Net cash paid to acquire subsidiaries and other business units	32			

Cash paid to other investing activities	33	66,487.30	67,450.30	4,700.00
Sub-total of cash outflow from investing activities	34	10,105.86	612,174,861.40	537,572,731.42
Net cash flows from investing activities	35	6,520,515.09	-365,124,352.86	-423,117,267.78
3. Cash flows from financing activities	36	—	—	—
Cash received from investment	37			200,000,000.00
Including: Cash received by subsidiaries from investment absorption of minority interest	38			
Cash received from borrowings	39		80,000,000.00	985,000,000.00
△ Cash received from issuance of bonds	40			
Cash received from other financing activities	41			
Sub-total of cash inflows from financing activities	42		80,000,000.00	1,185,000,000.00
Cash paid for loan repayments	43		790,946,381.58	258,496,381.58
Cash paid for dividends, profits appropriation or payments of interest	44	18,433,243.26	218,449,700.76	354,106,723.20
Including: Dividends and profits paid by Subsidiaries to minority interest	45			
Cash paid to other financing activities	46			
Sub-total of cash outflows from financing activities	47	18,433,243.26	1,009,396,082.34	612,603,104.78
Net cash flows from financing activities	48	-18,433,243.26	-929,396,082.34	572,396,895.22
4. Effect of changes in foreign exchange rate on cash and cash equivalents	49			
5. Net increase in cash and cash equivalents	50	25,554,280.11	-572,923,125.61	-98,325,103.41
Add: Cash and cash equivalents at the beginning of the period	51	123,735,888.19	722,213,293.91	436,413,713.43
6. Cash and cash equivalents at the end of the period	52	149,290,168.30	149,290,168.30	338,088,610.02

Note: △ are specially used by financial enterprises.